CALIFORNIA HORSE RACING BOARD 1010 HURLEY WAY, SUITE 300 SACRAMENTO, CA 95825



REPORT OF THE BOARD OF STEWARDS

DEL MAR FALL MEET DEL MAR THOROUGHBRED CLUB

TO THE CALIFORNIA HORSE RACING BOARD

HONORABLE EDMUND G. BROWN JR. GOVERNOR
HONORABLE CHUCK WINNER, CHAIRMAN
HONORABLE MADELINE AUERBACH, VICE CHAIRMAN
HONORABLE JESSE H. CHOPER, MEMBER
HONAORABLE FRED MAAS, MEMBER
HONORABLE ARACELI RUANO, MEMBER
HONORABLE ALEX SOLIS, MEMBER
RICK BAEDEKER, EXECUTIVE DIRECTOR
JACQUELINE WAGNER, ASSISTANT EXECUTIVE DIRECTOR
JOHN McDONOUGH, CHIEF COUNSEL
DARREL MCHARGUE, CHIEF STEWARD

WEEK 2: Wednesday, November 8, 2017 through Sunday, November 12, 2017

WEDNESDAY

11-08-17¹

DARK DAY ENTRIES

Scott Chaney was on duty to oversee entries for Saturday which consisted of nine races carded.

THURSDAY

11-09-17¹

ENTRIES AND SCRATCHES

Judge Chaney was again on duty for entries for Sunday (nine races) for declarations and changes for Friday. These involved four rider changes, one equipment adjustment and five declarations.

FRIDAY

11-10-17¹

QUINONEZ INFORMAL HEARING CASE #17LA0328 17LA0329

The CHRB filed two complaints against exercise rider Rolando Quinonez. Los Alamitos Investigator Dennis Drulias performed the investigation and filed the complaints with Los Alamitos case numbers. The two hearings were scheduled for Sunday, November 5, 2017. This BOS suggested these complaints be transferred to the Los Alamitos BOS. Sunday, Los Alamitos Investigator Kevin Kitashima came to the Stewards' office insisting that we hear the matter if the Respondent appeared. Witness Mike Zuniga was present (case 17LA0329). Witness Diana Macias (unlicensed) did not appear for case #17LA0328 in which the CHRB filed a possible violation of rules 1989 (Removal or Denial of Access) and 1489 (7) (Grounds for Denial or Refusal of License). The second complaint filed against Mr. Quinonez was filed under Penal Code 647 (j) 3(a) (Invasion of Privacy). A CHRB rule violation was not used on this complaint. Mr. Quinonez did appear today (Friday) after having been informed of the complaints by co-workers at Cliff Sise's barn at San Luis Rey Downs training facility. Investigator Phillip Miyazaki was present, Steward Grant Baker chaired the hearing and Safety Steward Luis Jauregui translated for Mr. Zuniga. More to follow.

11-10-17² **JOCKEY FILM REVIEW**

Flavien Prat came to the Stewards' office to review his ride in the sixth race Sunday. Mr. Prat moved off his path entering the stretch crossing in front of another horse with questionable clearance. He was warned that this type of riding is not acceptable.

Apprentice Ruben Fuentes met with the BOS to review the second race Sunday in which he angled in leaving the starting gate making it tight on a horse to his inside before making an effort to correct. He was issued a warning due to the fact two horses on the inside drifted out adding to the configuration.

Agent Matt Nakatani representing Corey Nakatani met with the Stewards to review the seventh race on Thursday, November 2, 2017. All agreed Mr. Nakatani used the crop four times without allowing the horse to respond which violates our rule. The following ruling was issued:

DFTD RULING #006

Jockey COREY NAKATANI is fined THREE HUNDRED DOLLARS (\$300.00)* for violation of California Horse Racing Board rule #1688(b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – third offense in the last sixty days), during the seventh race at Del Mar Race Track on November 2, 2017, (last offense October 27, 2017).

11-10-17³ **DECLARATIONS, CHANGES AND REFUNDS**

1 st Race	#3 Sweetwaater Gal (Tr. J. Sadler) claimed by Tr. J. Martin
	Refund \$253.56
2 nd Race	#1 Our Bright Star (Tr. G.F. Almeida) Veterinarian-sick-Dr. Stead
	#2 Ramona Chatter (Tr.E. Kruljac) Veterinarian-injured-Dr. Grant
	#5 Miss Napper Tandy (Tr. S. Nichols) DNF-walked off
	#7 Shady Love (Tr. A. Saavedra) E. Roman replaced D. Sorese (injured)
	Refund \$449.03
3 rd Race	#10 El Asesino (Tr. M. Ruis) E. Maldonado replaced N. Arroyo, Jr.
	(personal). Refund \$84.23
4 th Race	#1 Sugaratsundown (Tr. A. Garcia) Veterinarian-sick-Dr. Grant
	#2 Mis Viola)Tr. D. O'Neill) Veterinarian-sick-Dr. Stead
	#6 Hold That Smile (Tr. S. Callaghan) Veterinarian-sick-Dr. Stead
	Refund \$461.94
5 th Race	#8 Miss Boom Boom (Tr. J. Hollendorfer) Claimed by Tr. D. O'Neill
	#11 Jennyway U Want It (Tr. M. Puype) DNF-ambulance removed
	Refund \$48.93
6 th Race	No Changes. Refund \$39.00
7 th Race	No Changes. Refund \$28.00
8 th Race	#11 La Chepis (Tr. V. Garcia) claimed by Tr. K. Desormeaux
	Refund \$382.12

11-10-17⁴ DAILY ATTENDANCE & HANDLE

On track	3,505	\$525,618.70
Off Track	4,882	\$2,433,610.80
Out –of-State	-0-	\$5,245,211.35
Total	8,387	\$8,204,440.85

SATURDAY

11-11-17¹ BLACK HEARING CASE #17SA0223

The CHRB filed a financial complaint against Owner Elliston Back for an alleged debt owed to owner Patricia Keeline in the amount of \$22,684.92. Owner Patricia Keeline and

her husband Richard were present as was Owner Elliston Black. Mr. Black stated he did owe the money but was not able to pay without selling the horses stabled at Ms. Keeline's farm. Ms. Keeline offered to take ownership of the horses for the bill owed. Mr. Black declined and was informed that a ruling suspending him will be issued tomorrow if he cannot satisfy the debt owed.

11-11-17² **JOCKEY FILM REVIEW**

Mike Smith met with the Stewards representing Javier Castellano concerning two different races on Saturday. In the eighth race Mr. Castellano, in the Stewards' opinion crossed over out of the gates in the six furlong event without sufficient clearance. Mr. Smith will inform him to be more aware in the future, warning.

In the twelfth race (Breeders' Cup Classic) Mr. Castellano told Mr. Smith he got caught up in the moment and forgot about the crop rule, but didn't intentionally violate it. The jockey colony was informed at both meetings on Friday and Saturday that if the cropping was excessive the penalty would be increased. The Stewards felt the following ruling was an appropriate penalty given the multiple violations in a 6 million dollar race. Also a ruling was issued for Mr. Castellano for failing to have a representative meet with the Stewards on Sunday.

DFTD RULING #007

Jockey JAVIER CASTELLANO is fined FIFTY DOLLARS (\$50.00)* for violation of the California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers) failure to attend film review on November 5, 2017.

DFTD RULING #008

Jockey JAVIER CASTELLANO is fined ONE THOUSAND DOLLARS (\$1,000.00)* for violation of California Horse Racing Board rule #1688(b)(6) (Use of Riding Crop – more than three times in succession without giving his horse the chance to respond), during the twelfth race (Breeders' Cup Classic) at Del Mar Race Track on November 4, 2017.

Tyler Baze, a day late, met with the Stewards to review a possible crop violation in the eighth race on Sunday. After watching the DVD he accepted the penalty issued below. He was also penalized for missing film reviews yesterday.

DFTD RULING #009

Jockey TYLER BAZE is fined ONE HUNDRED DOLLARS (\$100.00)* for violation of California Horse Racing Board rule #1688(b)(6) (Use of Riding Crop – more than three times in succession without giving the horse a chance to respond – first offense in the last sixty days), during the eighth race at Del Mar Race Track on November 5, 2017, (last offense August 16, 2017).

DFTD RULING #010

Jockey TYLER BAZE is fined FIFTY DOLLARS (\$50.00)* for violation of the California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers -) failure to attend film review on November 10, 2017.

11-11-17³ INQUIRY/DISQUALIFICATION NINTH RACE one mile-dirt

Following the running of the race the inquiry sign was posted to review an incident entering the stretch. Involved were #8 Ucanthankmelater (T. Baze 5th) and #9 Gunslinger (M. Gutierrez 4th). After conversation with the Patrol Judges and both jockeys involved in a majority decision #9 was disqualified from third position and

placed fourth. Tucked in behind horses #9 angled out making contact with #8 pushing it off its path. The majority felt this action cost #8 the opportunity of a better placing, Steward Baker dissented.

ORIGINAL ORDER OF FINISH 4-6-(<u>9</u>)-8-1-10-5-7-2-3 OFFICIAL ORDER OF FINISH 4-6-8-(<u>9</u>)-1-10-5-7-2-3

11-11-17⁴ **VOIDED CLAIM**

After an examination of #1 Freddies Dream who raced in the ninth race by Official Veterinarian Barrie Grant the horse was placed on the Veterinarian's List due to unsoundness and the Stewards voided the claim.

11-11-17⁵ **DECLARATIONS, CHANGES AND REFUNDS**

1 st Race	No Changes. Refund \$0.00
2 nd Race	#7 Sally Simpson (Tr. V. Cerin) claimed by Tr. P. Harrington
	Refund \$0.00
3 rd Race	No Changes. Refund \$0.00
4 th Race	No Changes. Refund \$293.00
5 th Race	No Changes. Refund \$336.69
6 th Race	#1 Crown the Kitten (Tr. A. Marquez) claimed by Tr. K. Desormeaux
	#4 Royal Albert Hall (GB) (Tr. R. Baltus) Veterinarian-sick-Dr. Stead
	#5 Play Hard to Get (Tr. E. Kruljac) Veterinarian-sick-Dr. Stead
	Refund \$2,555.26
7 th Race	No Changes. Refund \$263.61
8 th Race	No Changes. Refund \$2,348.85
9 th Race	#1 Freddies Dream (Tr. A. Lerner) claimed by Tr. P. Miller VOID
	#5 South Texas Lingo (Tr. S. Knapp) claimed by Tr. V. Cerin
	Refund \$0.00

11-11-17⁶ DAILY ATTENDANCE & HANDLE

On track	7,251	\$984,321.60
Off Track	7,093	\$3,725,956.60
Out –of-State	-0-	\$7,637,204.58
Total	14,344	\$12,347,482.78

SUNDAY

11-12-17¹ AMMENDED RULING

The following amended ruling was issued after corrections were made.

DFTD RULING #001

Trainer MARK GLATT, who started the horse "ANGEL ALLIE", in the sixth race at Santa Anita Park on October 28, 2017, is fined TWO HUNDRED DOLLARS (\$200.00)* for violation of California Horse Racing Board rules #1853 (Examination Required - Improperly shod).

11-12-17² **JOCKEY FILM REVIEW**

Mario Gutierrez met with the Stewards to review the ninth race yesterday that involved a disqualification of his mount, ref 11-11-17(3). In a unanimous decision Mr. Gutierrez was issued a three day suspension due to the fact he guided his mount outward to change paths while not clear, therefore guilty of the infraction. Ruling to follow.

Flavien Prat met with the Stewards to review an incident that occurred in the stretch of the eighth race yesterday. Mr. Prat guided his horse out initiating contact with a horse

to his outside. Although this was not penalty worthy, this BOS feels his frequent visits to our office pertaining to aggressive riding needs to cease or penalties are in his future.

11-12-17³ QUINONEZ DECISIONS ref 11-10-17 (1)

Due to the combination of an unlicensed witness failing to appear for the scheduled hearing (case 17LA 0328) and a complaint filed without a CHRB rule violation attached (case 17LA0329), both cases were dismissed.

11-12-17⁴ BLACK RULING ref 11-11-17 (1)

The following ruling was issued after Mr. Black failed to satisfy the debt owed to Patricia Keeline.

DFTD RULING #011

Owner Elliston Black, having appeared before the Board of Stewards at Del Mar Race Track on November 11, 2017 to answer a complaint regarding case 17SA0223, is suspended for violation of California Horse Racing Board rule #1876 (Financial Responsibility - \$22,684.92 to Pine Mesa Ranch (Patricia Keeline)). During the term of suspension, all licenses and license privileges of Elliston Black are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend or Fine), Mr. Elliston is denied access to all premises in this jurisdiction.

11-12-17⁵ **BOUZA RULING CASE #17SA214**

The following ruling was issued after groom Luis Bouza failed to appear for his scheduled hearing. The CHRB filed this complaint for a possible violation of CHRB rules 1930 (Obedience to Security Officers and Public Safety Officers) and 1989 (Removal or Denial of Access).

DFTD RULING #012

Groom Luis Bouza, having failed to respond to a complaint alleging violation of California Horse Racing Board rule #1930 (Obedience to Security Officers and Public Safety Officers) and rule #1889 (Entry to Area Assigned to Trainer) is suspended for violation of California Horse Racing Board rule #1547 (Failure to Appear). During the term of suspension, all licenses and license privileges of Luis Bouza are suspended and pursuant to California Horse Racing Board rule #1528 (Jurisdiction of Stewards to Suspend and Fine). Luis Bouza is denied access to all premises in this jurisdiction.

11-12-17⁶ FINLEY RULING/BROKKEN RULING REF 11-05-17(3)

The following two rulings were issued after deliberation of the facts pertaining to the cases were heard. The decision was based on the seriousness of the double treatment of phenylbutazone given by the two veterinarians. Not only does it put the trainer of the horse in jeopardy, it gives the medicated horse an advantage which affects other participants and the betting public.

DFTD RULING #013

Veterinarian JENNIFER FINLEY, who treated the horse JUST A LITTLE HOPE in the seventh race at Santa Anita Park on May 29, 2017 is fined FIVE HUNDRED DOLLARS (\$500.00)* for violation of California Racing Board rules #1843.1(b) (Prohibited Drug Substance), #1843 (a)(d) (Medications, Drugs and Other Substances) and #1844(c)(1) (Authorized Medication – phenylbutazone (3.85 ug/ml).

DFTD RULING #014

Veterinarian TODD BROKKEN, who treated the horse JUST A LITTLE HOPE in the seventh race at Santa Anita Park on May 29, 2017 is fined FIVE HUNDRED

DOLLARS (\$500.00)* for violation of California Racing Board rules #1843.1(b) (Prohibited Drug Substance), #1843 (a)(d) (Medications, Drugs and Other Substances) and #1844(c)(1) (Authorized Medication – phenylbutazone (3.85 ug/ml)).

11-12-17⁷ SPAWR FORMAL HEARING CASE 17DM0017

The CHRB filed a complaint against trainer Bill Spawr for violation of CHRB rules 1844 (f) (Authorized Medication), 1843 (a)(d) (Prohibited Drug Substance) and 1887 (Trainer to Insure Condition of Horse). Present before the BOS were Investigator Jim Hamilton and recording the proceedings, court reporter Michelle Derieg. Mr. Spawr requested to testing by telephone.

On July 20, 2017 at Del Mar Race Track Mr. Spawr raced the horse Frac Candy who in a post race sample was found to contain the class 4 drug penalty C "Methocarbamol (7.0 ng/ml). Permitted level is 1 ng/ml. Mr. Spawr stated there was a mix up of feed tubs in his shed row and this horse was given the wrong feed. Decision to follow.

11-12-178 **INQUIRY FIRST RACE NO CHANGE (one mile dirt)**

Following the running of the race the inquiry sign was posted to review the start in which #2 It's a New Year (E. Roman 4th) dwelt when the starting gates opened. After a review, all agreed the horse caused his own demise and was declared a starter.

11-12-17⁹ **DECLARATIONS, CHANGES AND REFUNDS**

- 1st Race #1 Arno's Mane Man (Tr. D. O'Neill) claimed by Tr. M. Ruiz Refund \$118.79
- 2nd Race No Changes. Refund \$103.00
- Race #10 Prohibition (Tr. B. Spawr) DNF-ambulance removed-euthanized #11 Chromium (Tr. K. Black) Veterinarian-sick-Dr. Grant. Refund \$1,250.44
- 4th Race #8 Jazzy (Tr. M. Dollase) Veterinarian-sick-Dr. Stead. Refund \$747.66
- 5th Race No Changes. Refund \$643.90
- 6th Race #2 Ike Walker (Tr. J. Hollendorfer) Steward-entered back. Refund \$986.92
- 7th Race #2 High Premise (Tr. B. Lynch) Veterinarian-injured-Dr. Grant Refund \$1,127.79
- 8th Race No Changes. Refund \$208.00
- 9th Race No Changes. Refund \$2,272.14

11-12-17¹⁰ DAILY ATTENDANCE & HANDLE

On track	4,015	\$820,963.90
Off Track	6,752	\$2,975,757.60
Out –of-State	-0-	\$6,988,184.06
Total	10,767	\$10,784,905.56

<u>ATTENDANCE REPORT</u> – The full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

VETERINARIAN REPORT - Week of October 30 - November 5, 2017

Horses on Vet List	12
Horses off Vet List	13
Shock Wave Therapy	8
Deceased	1
Medication	-0-
Claims Voided	-0-

<u>ATTENDANCE REPORT</u> – A full Board of Stewards was in session each day during this reporting period for both morning business and the afternoon racing program.

SUMMARY

	Fri 11/10	Sat 11/11	Sun 11/12	Week	Meet
Accidents	0	0	0	0	2
Cal-Bred Races	1	2	1	4	10
Claims	3	3	2	8	19
Disqualifications	0	1	0	1	1
Inquiries	0	1	1	2	3
Refunds	8	5	9	22	60
Rulings	1	4	4	9	14
Voided Claims	0	1	0	1	1

Respectfully submitted,

Kim Sawyer, Steward	
Grant Baker, Steward	
C. Scott Chaney, Steward	
Luis Jauregui, Safety Steward	